

Employee Post-Travel Disclosure of Travel Expenses

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PUBLIC RECORDS

Post-Travel Filing Instructions: Complete this form within 30 days of returning from travel. Submit all forms to the Office of Public Records in 232 Hart Building.

2018 OCT 17 AM 11:27

In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), AND
☒ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): Progressive Policy Institute

Travel date(s): September 17-22, 2018

Name of accompanying family member (if any): N/A

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate	\$1300	\$900	\$600	
<input checked="" type="checkbox"/> Actual Amount				

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate				
<input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

See Attached.

10/10/18
(Date)

Alexis Alber
(Printed name of traveler)

Alexis Alber
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

10-16-18
(Date)

R. Johnson
(Signature of Supervising Senator/Officer)

Date/Time Stamp:

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

ETHIC AUG17'18AM10:18

Name of Traveler: Alexis Alber

Employing Office/Committee: Sen. Johnson

Private Sponsor(s) (list all): Progressive Policy Institute (PPI)

Travel date(s): September 17 to September 22, 2018

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): Dublin, Ireland; London, United Kingdom

Explain how this trip is specifically connected to the traveler's official or representational duties:

Alexis Alber serves as Legislative Counsel to Senator Ron Johnson advising the Senator on domestic and international tax policy and general economic issues. This trip will explore the impact of the new US tax law on US-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

8/16/2018
(Date)

Alexis Alber
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, Senator Ron Johnson hereby authorize Alexis Alber
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

8/17/18
(Date)

Ron Johnson
(Signature of Supervising Senator/Officer)

Alber, Alexis (Ron Johnson)

From: Cody Tucker <ctucker@ppionline.org>
Sent: Monday, August 6, 2018 3:09 PM
To: Alber, Alexis (Ron Johnson)
Subject: Invitation: PPI Policy Mission to Dublin & London, 9/17-22
Attachments: Invitation - PPI Policy Mission to Dublin & London 2018.pdf

Hi Alexis,

Attached please find an invitation to join PPI and a bipartisan delegation of senior congressional staff on a mission to Dublin and London from September 17 to 22, 2018.

This mission will cover ongoing competition investigations in Europe, how the new US tax law is impacting US-EU & US-UK relations, new digital privacy & social media laws, the geopolitical impact of Brexit, and other critical issues pertinent to the transatlantic relationship.

PPI is a non-profit 501(c)(3) nonpartisan organization with the mission of providing educational programming on current policy issues. This mission will serve as part of a decades long PPI dialogue with our European partners to strengthen US-EU ties.

It will be a very informative mission in a great part of the world, and we hope you can make it. Please let me know if you have any questions and RSVP to me as soon as possible, as space is limited.

Best,
Cody

Cody Tucker

Director of Communications & Public Affairs
Progressive Policy Institute
202.525.3926 | 949.874.5074 (mobile)
www.progressivepolicy.org



1200 New Hampshire Ave., NW
Suite 575 | Washington, DC 20036
Tel 202 525 3926
Fax 202 525 3941

On behalf of the Progressive Policy Institute (PPI), I'd like to invite you to join us for a transatlantic mission to Dublin and London from Monday, September 17 to Saturday, September 22, 2018.

This will be PPI's sixth time hosting a bipartisan delegation of senior congressional staff for high-level policy meetings in Europe and the United Kingdom with our transatlantic allies and partners. With an uncertain geopolitical landscape in Europe post-Brexit—and ever changing political dynamics in the United States—the desire from key players in Europe to meet and talk with their American counterparts in Congress is at a significantly heightened level. With this in mind, PPI believes it is important for U.S. policy professionals to experience and learn about the European view on critical issues not represented in domestic press reports and to inform our allies of Congress' work in these areas.

This mission will cover a wide range of topics and the schedule is not for the faint of heart; you will see much of Dublin and London in a short period of time!

Specifically, this mission will hold meetings directly related to:

- Ongoing competition, or "State Aid," investigations targeting US multi-nationals
- The impact of digital privacy laws and crackdown on social media
- The aftermath of Brexit and what it means for US-UK relations
- The new U.S. tax law's impact EU-US relations
- The political landscape across Europe and its effect on the future of the EU when the UK officially exits in 2019

PPI is a non-profit 501 (c)(3) organization with the mission of providing educational programming on current policy issues. As such, this mission will comply with House and Senate ethics rules. PPI was a leader in the founding and push for the "Third Way" movement in the 1990s and has continued this work since then by maintaining relationships in Europe and producing informative and thought provoking transatlantic missions and reports.

I hope that you will be able to join us in what promises to be an insightful and educational mission to Dublin and London. Space is limited; please contact me at 202-775-0106 or ctucker@ppionline.org to RSVP or if you require further information.

Regards,

Cody Tucker
Director of Public Affairs
Progressive Policy Institute

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should **NOT** submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

-
1. Sponsor(s) of the trip (please list all sponsors): Progressive Policy Institute (PPI)
 2. Description of the trip: To inform US congressional staff about issues related to US-EU relationship from a European perspective, including tax, trade, privacy, competition, anti-trust, and foreign policy.
 3. Dates of travel: September 17 to September 22, 2018
 4. Place of travel: Dublin, Ireland; London, United Kingdom
 5. Name and title of Senate invitees: Please see attached.
 6. I *certify* that the trip fits one of the following categories:
☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal **and** do not retain or employ registered lobbyists or agents of a foreign principal **and** no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

- OR -

☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
 7. ☒ I *certify* that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.

- AND -

☒ I *certify* that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
 8. I *certify* that:
☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.

- AND -

☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

- ☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and **one** overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

=OR=

- ☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and **two** overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

=OR=

- ☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

PPI is the sole sponsor in organizing and conducting the trip.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

This trip is a continuation of nearly 30 years of PPI's work in Europe. The purpose of the trip is related to our mission of educating congressional staff on US-EU issues from a European perspective and how the EU and US governments can better work together on common issues.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

PPI has sponsored congressional travel to New Orleans in 2001, New York City in 2015, and Europe from 2014 to 2018.

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

PPI is a think-tank working for pragmatic solutions to public policy issues through the development and analysis of policy proposals and hosting of educational programming.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate <input type="checkbox"/> Actual Amounts	\$1300	\$900	\$600	

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

b) This trip involves an event that is arranged or organized specifically with regard to congressional participation

18. Reason for selecting the location of the event or trip

Key European policy and political developments are being made in the locations of this trip.

19. Name and location of hotel or other lodging facility:

Clayton Hotel Cardiff Lane, Dublin, Ireland; Strand Palace Hotel, London, United Kingdom

20. Reason(s) for selecting hotel or other lodging facility:

Location and cost

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

All daily expenses are below the maximum per diem rates for official Federal Government travel.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach airplane travel will be provided.

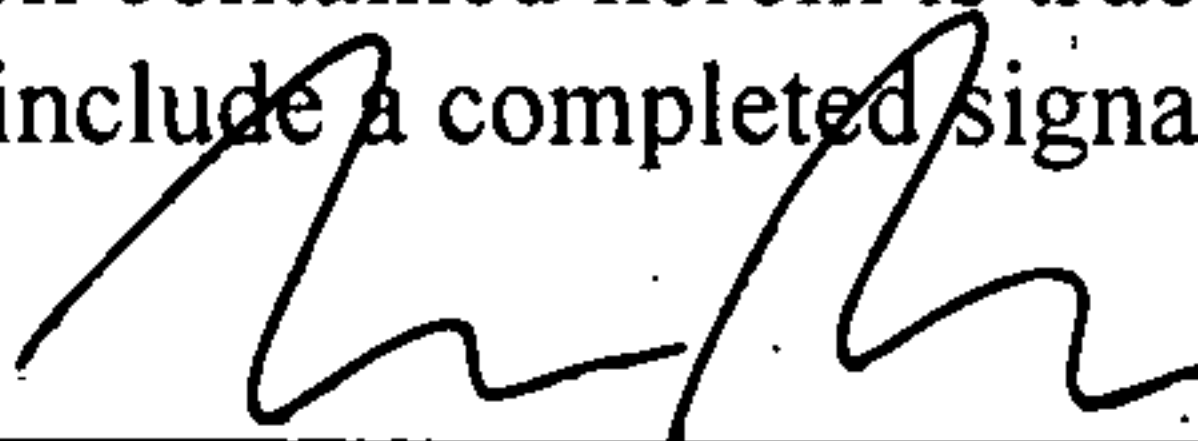
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

N/A

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor:



Name and Title: Lindsay Mark Lewis, Executive Director

Name of Organization: Progressive Policy Institute (PPI)

Address: 1200 New Hampshire Ave. NW Suite 575, Washington, D.C. 20036

Telephone Number: 202-617-4042

Fax Number: 202-525-3941.

E-mail Address: llewis@ppionline.org

DON'T FORGET YOUR PASSPORT!

Guests will travel to Dublin and London via arrangements made by PPI. You are responsible for getting yourself to and from Washington Dulles Airport (IAD).

If you miss or have any problems with your flight:

- Immediately contact the Delta Airlines customer service desk to fix your reservation.
- Once your flight has been re-booked, contact Jana Plat at jplat@ppionline.org or 1-805-207-5741 phone number to update your arrival information, so alternative ground transportation arrangements can be made.

Monday, September 17

10:05PM Flight Departs Washington, D.C.(IAD) for Dublin(DUB)
UA126

Tuesday, September 18

10:00AM Arrive in Dublin(DUB)

10:15AM Airport Shuttle Pickup for Arriving Guests
Jana Plat will be waiting – 1-805-207-5741

11:00AM Arrive at Clayton Hotel Cardiff Lane
Sir John Rogerson's Quay, Dublin 2

12:30-2:00PM Lunch with Dublin Based Business Leaders
Discussion on ongoing state-aid and anti-trust investigations of U.S. companies by the European Commission
Cliff Town House, 22 St Stephen Green, Dublin 2

2:30-3:30PM Meeting with Helen Blake, Assistant Secretary, Department of An Taoiseach (Prime Minister's Office)
Discussion on Ireland's engagement with the EU and upcoming Brexit negotiations
Upper Merrion Street, Dublin 2

3:30-4:15PM Meeting with Pat Ivory, Director of EU and International Affairs, IBEC (Business and Employers Organization)
Discussion on current issues facing Ireland from the perspective of business
84/86 Lower Baggot Street, Dublin 2

4:30PM-5:00PM Meeting with Mark Redmond, CEO, American Chamber of Commerce Dublin
Discussion on competition and privacy policies and the implications for U.S. business operating in Ireland
Cliff Town House, 22 St Stephen's Green, Dublin 2

5:30-6:30PM Meeting with Senator Neale Richmond, Fine Gael Party (Christian Democrat)
Discussion on the implications of Brexit for Ireland and its borders
Seanad Eireann, Leinster House, Kildare Street, Dublin 2

7:30-10:00PM Reception and Dinner with Arthur Beesley, Financial Times
Discussion on Brexit fallout and its impact on Ireland
The Stephen's Green Hibernation Club, 9 St Stephen's Green, Dublin 2

Wednesday, September 19

- 8:15AM-9:30AM** Breakfast meeting with Paul Haran, Board Director and ex Secretary-General, Department of Enterprise
Discussion on the implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
The Stephen's Green Hibernation, 9 St Stephen's Green, Dublin 2
- 10:00AM-11:00AM** Meeting with Mark Griffin, Secretary General, Department of Communications, Climate Action and Environment
Meeting on the implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
29-31 Adelaide Road, Dublin 2
- 12:30PM-1:30PM** Lunch meeting with Timmy Dooley TD, Fianna Fail, Spokesperson
Discussion on the implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
ONE PICO, Molesworth Place, off St.Stephens Green, Dublin 2
- 3:00PM-3:45PM** Meeting with Karl Finnegan, Trade Policy Unit, Department of Business, Enterprise and Innovation
Discussion on the Implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
Seanad Eireann, Leinster House, Kildare Street, Dublin 2
- 4:00-4:30PM** Meeting with Nicholas O'Brien, Assistant Secretary, Department of Finance
Discussion on EU state-aid investigations and US-Ireland digital trade
Government Buildings, Merrion Street Upper, Dublin 2, Ireland
- 4:45PM-5:30PM** Meeting with Ciarán Cannon, Minister of State for the Diaspora and International Development
Discussion on the implications of Brexit for Ireland, US-Ireland relations, and Irish and European political climates
Ministry of Foreign Affairs, Iveagh House, 80 St Stephen's Green, Dublin 2
- 7:00-10:00PM** Dinner with Brian Hayes MEP, Fine Gael
Discussion on EU antitrust and tech and telecoms regulation
Blue room, Dean Hotel, 33 Harcourt Street, Dublin 2

Thursday, September 20

- 6:30AM-7:30AM** London Trip briefing
Sir John Rogerson's Quay, Dublin 2
- 8:40AM** Flight Departs Dublin(DUB)
BA 4463
- 10:10AM** Flight Arrives at London City Airport(LCY)
- 11:30AM** Arrive at Strand Palace Hotel
372 Strand, London WC2R 0JJ
- 12:15- 1:45PM** Lunch Meeting with the Tony Blair Institute for Global Change
Chris Yiu, senior policy fellow for technology, Renewing the Centre team at the Tony Blair Institute for Global Change
Discussion on laying groundwork for digital policy in the U.K.
Spring, Lancaster Place London SW1A 2HP
- 2:00-3:30PM** Meeting with Jimmy McLoughlin, Special Adviser to Prime Minister Theresa May
Discussion on US-UK relations and private tour of 10 Downing
10 Downing Street, London SW1A 2AA

4:00-5:00PM **Tour of UK Parliament**
Discussion on functions of UK Parliament and private tour
Parliament, London, SW1A 0AA

5:30-7:00PM **Meet and Greet Reception hosted by PPI**
Meet and greet with UK government staff counterparts
Tranferwise, The Tea Building, 56 Shoreditch High St, London E1 6JJ, UK

7:30-10:00PM **Dinner with Matthew Goodwin, professor at the School of Politics and International Relations, the University of Kent, and Associate Fellow at Chatham House.**
Discussion with British journalist on the process of Brexit and what it means for the U.S. and Europe
Margot, 45 Great Queen Street, Covent Garden, London, WC2B 5AA

Friday, September 21

8:30-10:00AM **Breakfast Meeting with COADEC**
Discussion on US-UK digital trade and innovation policies
Bloomberg, 3 Queen Victoria St, London EC4N 4TQ, UK

10:30- 11:30AM **Meeting with Mike Williams, HM Treasury, Director of Business and International Tax**
Discussion on digital taxation and state-aid investigations in the EU and UK
1, Horse Guards Rd, Westminster, London SW1A 2HQ, UK

12:00-1:30PM **Lunch with the BAB, British American Business council**
Discussion on U.S. businesses operating in the UK
Atlantic House, 50 Holborn Viaduct, London EC1A 2FG

2:00-3:00PM **Meeting with the Department for Digital, Culture Media and Sport**
Yasmin Brooks, Cyber Security and Data Director
Discussion on UK digital policy and cyber security for U.S. businesses operating in the UK
4th Floor, 100 Parliament Street, London SW1A 2BQ

4:00-5:00PM **Meeting with Patrick Diamond, Policy Network**
Discussion on UK-US relations and current UK political climate
372 Strand, London WC2R 0JJ

6:00-9:00PM **Final dinner with Labour Members of UK Parliament**
Trip debrief and wrap-up discussion
372 Strand, London WC2R 0JJ

Saturday, September 22

12:15PM **Flight Departs London (LHR) for Dulles (IAD)**
UA919

3:15PM **Flight Arrives in Dulles (IAD)**

Senate Attendees

**Alexis Alber
Legislative Counsel
Senator Ron Johnson (R-WI)**

Alexis Alber serves as Legislative Counsel to Senator Ron Johnson advising the Senator on domestic and international tax policy and general economic issues. This trip will explore the impact of the new U.S. tax law on U.S.-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

**P.J. Austin
Legislative Assistant
Senator Pat Roberts (R-KS)**

P.J. Austin serves as Legislative Assistant for Senator Pat Roberts advising the Senator on domestic and international tax policy and general economic policy issues. This trip will explore the impact of the new U.S. tax law on U.S.-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

**Zach Mallove
Legislative Assistant
Senator Patty Murray (D-WA)**

Zach Mallove serves as Legislative Assistant to Senate Assistant Minority Leader Senator Patty Murray advising the Senator on domestic and international tax policy and economic issues. This trip will explore the impact of the new U.S. tax law on U.S.-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

**Tiffany Smith
Chief Tax Counsel, Minority Staff Senate Finance Committee
Senator Ron Wyden (D-OR)**

Tiffany Smith serves as Chief Tax Counsel on the Senate Finance Committee advising Ranking Member Senator Ron Wyden on domestic and international tax policy. This trip will explore the impact of the new U.S. tax law on U.S.-EU relations, EU taxation on American companies operating in Europe, and future potential policy implications.

**Chad Maisel
Economic Policy Advisor
Senator Cory Booker (D-NJ)**

Chad Maisel is Economic Policy Advisor to Senator Cory Booker advising the Senator on issues related to commerce, economics, budget and tax. This trip will explore the impact of the new U.S. tax law on U.S.-EU and U.S.-UK relations, EU taxation on American companies operating in Europe, and future potential policy.